TRIPS-plus

How FTAs and other bilateral treaties impose intellectual property rights on life in developing countries

GRAIN February 2004

1. Bilateral treaties push patents on life

- One tool of a multi-pronged strategy to push patents on life worldwide
- "TRIPS-plus" = any set of obligations that goes beyond the WTO TRIPS Agreement.
- The US and the EU are the main forces pushing these agreements
- International policy-making "through the back door"

2. TRIPS-plus bilateral treaties take many forms

- free trade agreements
- unilateral trade policies
- bilateral investment treaties
- bilateral IPR agreements
- bilateral science & technology cooperation agreements
- development cooperation or partnership agreements
- WTO accession agreements

3. When is a bilateral treaty "TRIPS-plus"?

In terms of biodiversity, any agreement that contains an obligation to:

- 1. implement or join the UPOV Convention
- 2. grant patents on plants or animals
- 3. join the Budapest Treaty
- 4. conform with "the highest international standards" of IPR protection

is TRIPS-plus. The same applies to those that open the door to these obligations.

4. Why is TRIPS-plus bad?

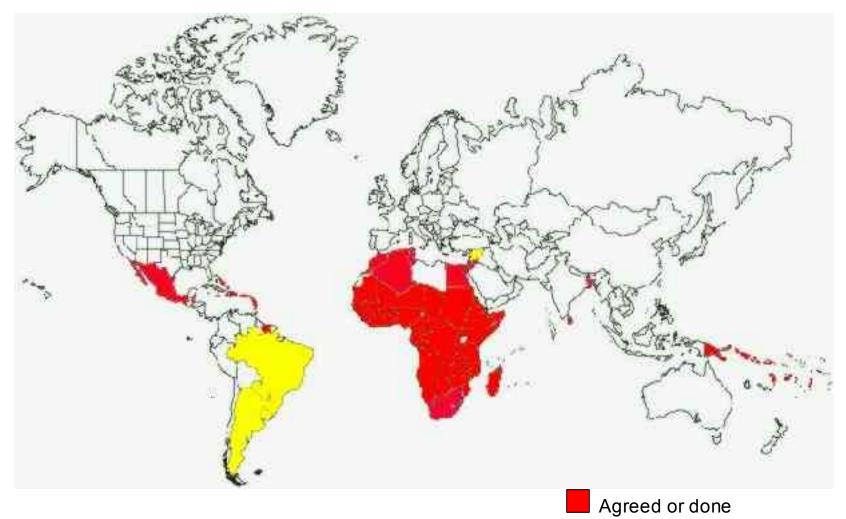
- privatises the basis of food & agriculture
- farmers can no longer save seeds
- undermines biodiversity
- brings in GMOs

End result: TNC control of food and agriculture in the South

5. IPR is crucial for TNCs

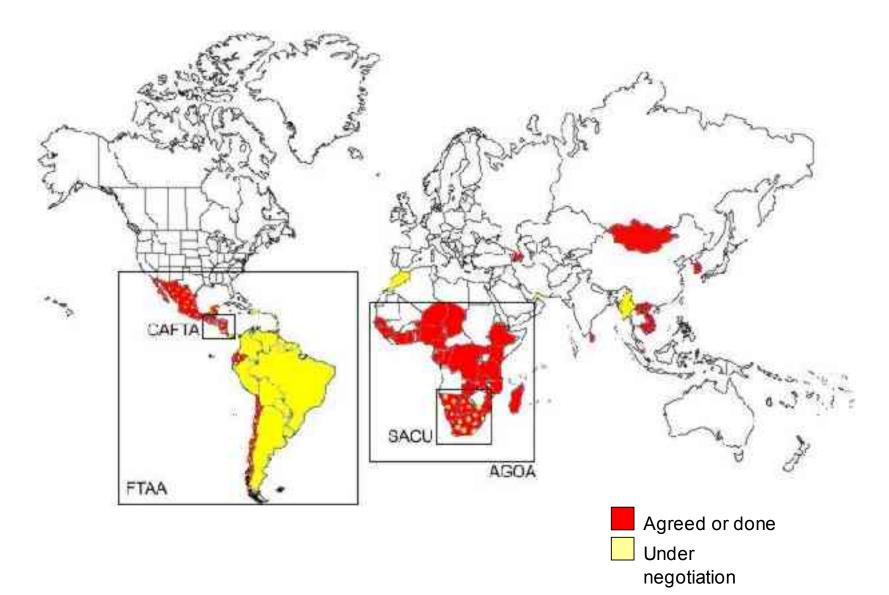
- provides market control
- growing portion of their income
- strategies they use: "piracy", divide and conquer, "no patents, no prosperity", intense lobbying
- cornerstone of FTA negotiations: Korea, Taiwan, Pakistan, Thailand, etc.

TRIPS-plus deals from EU



Under negotiation

TRIPS-plus deals from US



- **ECUADOR**: Pressured to join UPOV if they refuse to allow patents on plants (US 1993)
- **MEXICO**: Forced to join UPOV (NAFTA 1994). Forced to join Budapest Treaty and to provide "highest int'l standards" of IPR protection (EU 2000)
- BOLIVIA, COLOMBIA, ECUADOR and PERU: US trade benefits gauged on extent to which they go beyond TRIPS (US 1991)

- TRINIDAD & TOBAGO: Forced to join UPOV (US 1994)
- NICARAGUA: Forced to join UPOV (US 1998)
- 24 CARIBBEAN BASIN COUNTRIES: US trade benefits gauged on extent to which they go beyond TRIPS (US 2000)

- CHILE: Forced to join UPOV and to allow patents on plants and animals (US 2003). Must join UPOV and Budapest Treaty (EFTA 2003).
- COSTA RICA, EL SALVADOR, HONDURAS, GUATEMALA, NICARAGUA, and soon DOMINICAN REPUBLIC: Forced to join UPOV and to open the doors to plant patenting (US 2003)

 ALL OF LATIN AMERICA except Cuba: The proposed Free Trade Area of the Americas carries many references to enforcing UPOV and patents on life (FTAA 2005?)

Asia

- **MONGOLIA**: No exclusions for plants/animals from patent law (US 1991).
- **SRI LANKA**: No exclusions for plants/animals from patent law (US 1991). Must conform with "highest int'l IPR standards" (EU 1995).
- CAMBODIA: Must join UPOV (US 1996)
- LAOS: Must join UPOV "without delay" and no exclusions for plants/animals from patent law (US 1997).

Asia

- BANGLADESH: Must make "best effort" to join UPOV and Budapest Treaty by 2006 (EU 2001).
- VIET NAM: Must join UPOV by 2002 (Switzerland 1999). Must implement and make best effort to join UPOV. Must also provide patents on all forms of plants/animals that are not "varieties", as well as on all inventions encompassing more than one "variety" (US 2000).

Asia

- CHINA, CAMBODIA and NEPAL: Pressured to join UPOV as condition for membership in the WTO (US 2000-2003).
- **SINGAPORE**: Must join UPOV and must allow patents on plants and animals (US 2003)

Africa and the Middle East

- JORDAN: Must join UPOV and Budapest Treaty (EU 1997). Must join UPOV, implement Budapest Treaty and no exclusions for plants/animals from patent law (US 2000 and EFTA 2001).
- **TUNISIA:** Must join UPOV and Budapest Treaty (EU 1998)

Africa and the Middle East

- SOUTH AFRICA: Must protect patents on "biotechnical" inventions, provide "highest int'l standards" and undertake to go beyond TRIPS (EU 1999).
- **MOROCCO**: Must join UPOV and Budapest Treaty (EU & EFTA 2000). Must protect patents on plants/animals (EFTA 2000).
- 38 SUB-SAHARAN AFRICAN COUNTRIES: US trade benefits gauged on extent to which they go beyond TRIPS (US 2000)

Africa and the Middle East

- 77 AFRICAN-CARIBBEAN-PACIFIC COUNTRIES: Must protect "patents for biotechnological inventions and plant varieties or other effective sui generis systems" (EU 2000)
- EGYPT: Must join UPOV and Budapest Treaty (EU 2001)
- ALGERIA: Must join UPOV (or implement similar) and Budapest Treaty (EU 2002)
- LEBANON: Must join UPOV and Budapest Treaty (EU 2002)

Scorecard

Through direct pressure from US & Europe

- some 21 developing countries have made commitments to implement the UPOV system of exclusive monopoly rights on plant varieties
- some 75 have made commitments to recognise industrial patents on plants and animals, especially GMOs

despite the option not to under WTO TRIPS.

6. Singapore model for Thailand?

Under the US-Singapore FTA of 2003, Singapore must

- join UPOV (1991 Act)
- allow patents on plants and animals

within six months of entry into force

7. Learnings

- TRIPS-plus treaties are the fruit of coercion, not negotiations between equals.
- The gains for industrialised countries are huge: market control, political hegemony, greater wealth.
- The losses for the South are also huge: democracy, development, sovereignty
- Resistance is not only possible (e.g. India, Ecuador, Nepal, Brazil), it is crucial